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FACSIMILE TRANSMITTAL

FROM: Cary S. Kappel

Date: July 12, 2005

PAGES: (including cover sheet) 3

Attorney Docket No.: 486.1001
U.S.S.N. 09/726,087

PLEASE DELIVER THE FOLLOWING TO:

Recipients(s):

Fax Number:

Attn: Examiner Ario Etienne and Examiner
Lashonda Jacobs

703-872-9306

Art Unit: 2157

MESSAGE:

This transmission was sent from fax number (212) 736-2427. If you have any problems with your reception, please telephone the sender at (212) 736-1940 Ext. (104).

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper with enclosures are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

July 12, 2005
Date

Enclosed are:
- Form PTO-1083 (1 page); and
- Interview Request (1 page).

IF THERE ARE ANY PROBLEMS WITH RECEPTION OF THIS FAX,
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FORM PTO-1083

Docket No.: 486.1001US

Date: July 12, 2005

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

In re application of: **David L. RHODES**

Serial No.: **09/726,087**

Filed: **November 29, 2000**

For: **COLLABORATIVE HOST MASQUERADING**

Sir:

Transmitted herewith is a **Request for Interview with Examiner Ario Etienne and Examiner Lashonda Jacobs.**

☒ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.

☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

☐ No fee for additional claims is required.

☐ A filing fee for additional claims calculated as shown below, is required:

(Col. 1)		(Col. 2)		SMALL ENTITY			OR	LARGE ENTITY		
FOR:	REMAINING	HIGHEST		RATE	FEE			RATE	FEE	
	AFTER	PREVIOUSLY	PRESENT							
	AMENDMENT	PAID FOR	EXTRA							
TOTAL CLAIMS	Minus 20	=	0	x \$	\$			x \$	\$.00
INDEP. CLAIMS	Minus 3	=	0	x \$	\$			x \$	\$.00
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+ \$	\$			+ \$	\$.00
TOTAL: \$							OR	TOTAL: \$		

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

☐ Also transmitted herewith are:

☐ Petition for extension under 37 C.F.R. 1.136

☐ Other:

☐ Check in the amount of .00 is attached to cover:

☐ Filing fee for additional claims under 37 C.F.R. 1.16:

☐ Petition fee for two months extension under 37 C.F.R. 1.136:

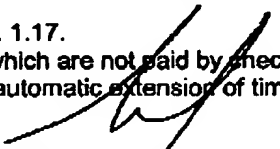
☐ Other:

☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.

☒ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.

☒ Any patent application processing fees under 37 C.F.R. 1.17.

☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.


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PTOL-413A (09-04)

Approved for use through 07/31/2006. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Applicant Initiated Interview Request Form

Application No.: 09/726,087 First Named Applicant: David RHODES
 Examiner: Lashonda Jacobs Art Unit: _____ Status of Application: Final Rejection

Tentative Participants:

(1) Cary Kappel (Reg. 36,561)

(2) Examiner Lashonda Jacobs

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(3) Supervisory Examiner Arlo Etienne

(4) _____

Proposed Date of Interview: July 21, 2005

Proposed Time: 11:00 (AM ☒/PM ☐)

(or on such date and time that is convient for the Examiners)

Type of Interview Requested:

(1) ☒ Telephonic

(2) ☐ Personal

(3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: _____

Issues To Be Discussed

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>Rej</u>	<u>1 and 53</u>	<u>Templin</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached

Brief Description of Arguments to be Presented:

Applicants would like to discuss the Examiner's basis for alleging, in the Advisory Action, that Templin teaches "the collaborating host sends the data to the desination in such a way as to make the destination host believe the data is from the source host" in view of col. 8, lines 39-47 which states:

"The inventive interchange of packets is illustrated in FIG. 5 . . . Trusted host A 150 generated s a packet [A->C] 501 destined for untrusted host C 160. The gateway B 300 intercepts the packet 501, and recognizes the packet 501 as a foreign packet. The packet 501 is consumed, and the gateway B generates a new packet [B->C] 502. Host C, believing it is communicating with a "host," generates a packet [C->B] 503 in response, and never learns of the existence of host A 150. Hence, the gateway is secure." (Emphasis added)

An interview was conducted on the above-identified application on _____

NOTE: This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01). This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

Applicant/Applicant's Representative Signature

Examiner/SPE Signature

Cary Kappel

Typed/Printed Name of Applicant or Representative

36,561

Registration Number, if applicable